

1-29-01

Express Mail Mailing Label No. EL749104481US

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01/26/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

ASX-01

First Named Inventor

Chen et al.

Title

Integrated Plasma Chamber and Inductively-Coupled  
Toroidal Plasma Source**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form
2. ☐ Small Entity Status  
☐ Applicant claims small entity status  
☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 35]  
- Written Description - ( 19 pages)  
- Claims - ( 8 pages)  
- Abstract - (1 page)  
- Sheets of Drawings - (7 sheets)  
☐ Formal  
☒ Informal
4. ☐ Oath or Declaration [Total Pages ]  
a. ☐ Newly executed (original)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
☐ Computer Readable Copy  
☐ Paper Copy (identical to computer copy)  
☐ CD (identical to computer copy)  
☐ Statement verifying identity of above copies

ADDRESS TO: **Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**ACCOMPANYING APPLICATION PARTS**

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
9. ☐ English Translation Document *(if applicable)*
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment  
☐ Drawings [Total Sheets ]  
☐ Letter to Official Draftsperson Including Drawings [Total Pages ]
12. ☒ Return Receipt Postcard
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application.
15. ☐ CD in duplicate for large table or computer program.
16. ☒ Other:  
Nonpublication Request under 35 U.S.C. 122(b) and Certification

17. ☒ If a **CONTINUING APPLICATION**, amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a

☐ continuation ☐ divisional ☒ continuation-in-part of prior application Serial No. 09/659,881, filed on September 12, 2000, the entire disclosure of which is incorporated by reference herein.--

**Priority to the above application(s) is claimed under 35 U.S.C. 120.**

Prior application information: Examiner: M. Paschall. Group/Art Unit: 3742.

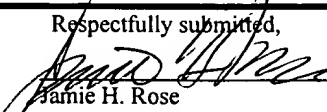
18. ☐ **Priority - 35 U.S.C. 119**

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_/\_\_\_\_ on \_\_\_\_\_.  
☐ The certified copy will follow.

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

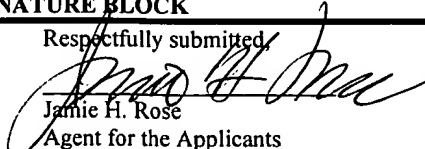
Respectfully submitted,  
  
Date: January 25, 2001  
Reg. No. 45,054  
Tel. No.: (617) 248-7376  
Fax No.: (617) 248-7100  
Jamie H. Rose  
Agent for the Applicants  
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High Street Tower  
125 High Street  
Boston, MA 02110

01/26/01  
09/774165  
PTO

# FEE TRANSMITTAL

## FY 2001

Continued if Known	
Application Serial Number	Not assigned.
Filing Date	Herewith
First Named Inventor	Chen et al.
Group Art Unit	Not yet assigned.
Examiner Name	Not yet assigned.
Attorney Docket No.	ASX-015CP

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																			
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				3. ADDITIONAL FEES																																																																																			
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input type="checkbox"/> Applicant claims small entity status.				<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="3">Other fee (Specify)</td><td></td></tr> <tr><td colspan="3">Other fee (Specify)</td><td></td></tr> </tbody> </table>				Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (Specify)				Other fee (Specify)			
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Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Respectfully submitted,  Date: January 26, 2001 Reg. No.: 45,054 Tel. No.: (617) 248-7376 Fax No.: (617) 248-7100 Agent for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																			

PATENT  
Atty. Docket No.: ASX-015CP (473/19)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chen, et al.  
SERIAL NO.: not yet assigned EXAMINER: not yet assigned  
FILED: January 26, 2001 ART UNIT NO.: not yet assigned  
TITLE: INTEGRATED PLASMA CHAMBER AND INDUCTIVELY-  
COUPLED TOROIDAL PLASMA SOURCE

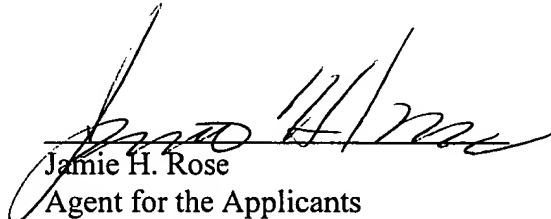
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Nonpublication Request under 35 U.S.C. 122(b) and Certification**

Applicants request nonpublication of the above referenced application, enclosed herewith.

**The application is not to be published under 35 U.S.C. 122(b).** The below signed agent certifies that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Date: January 26, 2001

  
Jamie H. Rose  
Agent for the Applicants  
Reg. No. 45,054

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FILED